

FORM FOR THE COLLECTION AND PROCESSING OF PERSONAL DATA

Company name and registered office of the applicant

Information on the data subject

Name and surname Surname at birth

PIN/Foreign ID number Date of birth Gender

Father's name and surname Mother's name and surname

Country and place of birth Citizenship

Permanent/temporary residence (place and address)

Notes:

Enter the information for management board members, executive directors that have a board of directors, holders of a qualifying holding – natural persons and persons responsible for managing activities related to the provision of payment services/issuance of electronic money/payment system operation/associates.

1. Enter information on each management board member, executive director, holder of a qualifying holding and responsible person separately in this form. For example, if the applicant has two management board members and two holders of a qualifying holding, four individual forms should be submitted, signed by the person to whom the information relates (data subject) and the person authorised to represent the applicant.
2. If a person does not have the Croatian Personal Identification Number (PIN), enter in the PIN field the tax number or foreign identification number assigned by the country in which this person has permanent residence.

Information on personal data processing

The Croatian National Bank (PIN: 95970281739), Trg hrvatskih velikana 3, 10 000 Zagreb (hereinafter referred to as 'CNB') is the controller of the processing of personal data submitted in this form. The collection and processing of personal data is lawful pursuant to the General Data Protection Regulation, Article 6, paragraph (1), item (e) and Article 10, and it is carried out because it is necessary for the exercise of official authority of the CNB to grant the prescribed authorisations and exercise supervision, i.e. during the application processing procedure, to take the following action:

a) determine whether there are conditions for authorisation

- to provide payment services, prescribed in Article 84, paragraph (1), items (1), (2) and (3) of the Payment System Act (OG 66/2018, 114/2022 and 136/2024; hereinafter referred to as 'PSA') to adopt the decision referred to in Article 86 of the PSA authorising the provision of payment services;
- to issue electronic money, prescribed in Article 16, paragraph (1), items (1), (2) and (3) of the Electronic Money Act (OG 64/2018, 114/2022 and 136/2024; hereinafter referred to as 'EMA') to adopt the decision referred to in Article 18 of the EMA authorising the issuance of electronic money;
- for the operation of a payment system, prescribed in Article 157, paragraph (1), items (1) and (2) of the PSA to adopt the decision referred to in Article 159 of the PSA;
- for the entry in the register of a small payment institution (hereinafter referred to as 'sPI'), prescribed in Article 122, paragraph (1), item (1) of the PSA, and a small electronic money institution (hereinafter referred to as 'sEMI'), prescribed in Article 59, paragraph (1), item (1) of the EMA, to adopt the decision referred to in Article 124 of the PSA for sPIs and the decision referred to in Article 61 of the EMA for sEMIs;

b) determine whether there are conditions for the entry of agents in the register referred to in Article 93 of the PSA and Article 28 of the EMA;

c) carry out the assessment of the notification on the acquisition of a qualifying holding pursuant to Article 96 of the PSA and Article 31 of the EMA; and

d) exercise supervision of these institutions in accordance with Article 110 of the PSA and Article 47 of the EMA.

Based on the collected data, the CNB assesses the suitability or good repute of individuals that are in the appropriate relationship with the applicant – legal person (holder of a qualifying holding, management board member/executive director, person responsible for managing activities related to the above business operations/associates) or the applicant – natural person himself/herself (for a registered account information service provider). The CNB also carries out the same suitability or good repute assessment procedure in relation to the agents (natural or legal persons) of payment service providers in the procedure on the application for the entry of agents in the register of payment service providers and electronic money issuers (hereinafter referred to as 'Register') in accordance with Article 92, paragraphs (2) and (3) of the PSA and Article 27, paragraphs (4) and (5) of the EMA.

The CNB transmits the collected data to the Ministry of Justice of the Republic of Croatia or another competent authority of the relevant member state competent for the criminal/misdemeanour records pursuant to Article 85, paragraphs (4), (5) and (6) and Article 158, paragraph (3) of the PSA and Article 17, paragraphs (5), (6) and (7) of the EMA for the purpose of obtaining data on the absence of conviction for criminal offences and misdemeanours listed in the mentioned acts, required for the assessment of the suitability and good repute. The CNB transmits the data to the Ministry of Finance, Office for the Prevention of Money Laundering, pursuant to Article 149 of the PSA, Article 92 of the EMA and Article 89 of the Act on the Prevention of Money Laundering and Terrorist Financing (OG 108/2017, 39/2019 and 151/2022). Upon the enforceability of the relevant decision on entry, the CNB will publish part of the data (name and surname, address, PIN) relating to natural persons – registered

account information service providers and agents of payment service providers in the Register in accordance with Article 93 of the PSA, Article 28 of the EMA and the Decision on the register of payment service providers and electronic money issuers (OG 5/2019) and transmit the data in relation to the entry to the European Banking Authority (hereinafter referred to as 'EBA') in accordance with Article 93, paragraph (9) of the PSA and Article 28, paragraph (8) of the EMA.

The CNB also has the legal obligation to submit part of the data (name and surname, date and place of birth, PIN or another identification number) to the IT system of European supervisory authorities (EBA, EIOPA and ESMA) for the purpose of exchange of information in accordance with Article 150, paragraph (4), item (3) of the PSA and Article 93, paragraph (4), item (3) of the EMA. For more on personal data processing by European supervisory authorities, see: https://www.eiopa.europa.eu/document/download/b65f919b-01f3-4681-b3d4-6abbe0ae299e_en?filename=Privacy%20Statement%20-%20ESAs%20Information%20System.pdf.

The CNB does not transfer the collected data to third countries or international organisations.
The CNB will keep the personal data from this form permanently in accordance with the Ordinance on the protection and processing of archival and current records.

In the case that the data are not submitted, the above-mentioned purposes cannot be achieved.
There is no automated decision making or profiling.

In accordance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation), you have the right to access personal data, right to rectification and restriction of processing personal data relating to you and the right to lodge a complaint with the CNB (where applicable) to the processing of your personal data.

The Croatian Personal Data Protection Agency (AZOP), Ulica Metela Ožegovića 16, Zagreb, is the supervisory authority for the protection of personal data in the Republic of Croatia. You can lodge a complaint with the Croatian Personal Data Protection Agency against the CNB's procedure with regard to the processing of your personal data. For more information see the CNB website, section "[Protection of personal data](#)". If you have any questions, please refer to the CNB personal data protection officer (sluzbenik.osobni@hnb.hr).

Date

Data subject's signature
(person to whom personal data relate)