Annex

**Form 1**

CROATIAN NATIONAL BANK

Trg hrvatskih velikana 3

10000 Zagreb

**Application for authorisation to provide credit intermediation services in relation to consumer housing loans**

1 Data on the applicant credit intermediary:

Company: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address of head office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Identification number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2 Data on the credit institution on whose behalf and for whose account the credit intermediary intends to provide credit intermediation services:

Company: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address of head office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Identification number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*Note: If a credit intermediary is tied to more credit institutions, data should be provided on all these credit institutions.*

3 The services referred to in Article 3, item (5) of the Act on Consumer Housing Loans which the applicant intends to provide, as well as weather the applicant intends to provide advisory services referred to in Article 3, item (19) of the Act on Consumer Housing Loans:

☐ presentation and offering of agreements on consumer housing loans;

☐ assistance in administrative tasks in the preparation of and prior to the conclusion of agreements;

☐ conclusion of agreements on consumer housing loans on behalf and for the account of credit institutions;

☐ provision of advisory services.

4 Name and surname of the authorised contact person in relation to the application, telephone number, telefax number and e-mail address of the person in question:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

5 Basic information on the professional indemnity insurance policy or comparable guarantee:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

6 If the professional indemnity insurance or comparable guarantee is provided to the applicant by the credit institution it is tied to, basic information on the insurance or guarantee:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

7 Data on the member of the board or board of directors of the applicant who will be responsible for the provision of credit intermediation services:

Name and surname: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place and date of birth: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Function in the management board or board of directors of the applicant:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place and date Name and surname of the authorised person of the applicant

The following shall be submitted in the Appendix to this Application:

1) the (preliminary) agreement on the provision of credit intermediation services with the credit institution it intends to be tied to;

2) a copy of the professional indemnity insurance policy or comparable guarantee covering the entire territory in which it offers credit intermediation services;

3) a completed Statement by the credit intermediary given in Form 2 in Annex to this Decision;

4) a certified copy of the personal identity card (passport for foreign citizens) of the member of the board of the applicant who will be responsible for the provision of credit intermediation services;

5) a completed Questionnaire to the member of the board or board of directors of the credit intermediary who will be responsible for credit intermediation services in Form 3 in Annex to this Decision;

6) evidence that the member of the board or board of directors of the credit intermediary has the qualifications specified in item 4 of the Questionnaire given in Form 3 in Annex to this Decision;

7) evidence that the member of the board or board of directors of the credit intermediary has the knowledge referred to in Article (3), paragraph (1) of this Decision and evidence of applications and the procedure for authorisation to provide credit intermediation services referred to in item 5 of the Questionnaire given in Form 3 in Annex to this Decision;

8) evidence that the member of the board or board of directors of the credit intermediary has the work experience specified in item 6 of the Questionnaire given in Form 3 in Annex to this Decision;

9) a completed Form 4 given in Annex to this Decision containing data on the staff of the credit intermediary;

10) evidence on the education and qualifications of each member of the credit intermediary named in Form 4 in Annex to this Decision;

11) evidence on the work experience of each member of the credit intermediary named in Form 4 in Annex to this Decision;

12) a certificate of the knowledge and competence of each member of the credit intermediary named in Form 4 in Annex to this Decision.

**Form 2**

**Statement by the credit intermediary**

Credit intermediary: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address of head office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name, surname and function of the person authorised to represent:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1 Has the credit intermediary committed a criminal offence? YES/NO

2 Has the credit intermediary committed any of the minor offences governed by laws regulating consumer protection?

YES/NO

3 Has the credit intermediary been subject to a supervisory measure by a supervisory or competent authority? YES/NO

4 Are there any proceedings pending against the credit intermediary relating to the infringement of the rights of employees or protection at work, compensation for damages or any other proceedings having to do with the infringement of the rights of others?

YES/NO

5 Are there any minor offence or criminal offence proceedings pending against the credit intermediary? YES/NO

If the answer to any of the questions above is yes, please provide further details:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Place and date Signature of the authorised person

**Form 3**

**Questionnaire**

**to the member of the board or board of directors of the applicant who will be responsible for the provision of credit intermediation services**

1 Credit intermediary: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2 General information on the member of the board or board of directors of the applicant who will be responsible for the provision of credit intermediation services:

Name and surname (surname at birth): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Names and surnames of father and mother: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date and place of birth \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Domicile or habitual residence: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Citizenship: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone number at work: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telefax number at work \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

4 Educational background: *(education, year of completion and title)*

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

5 Does the member of the management board or board of directors of the credit intermediary have the knowledge referred to in Article (3), paragraph (1) of the Decision on the necessary knowledge and competence of the staff of credit institutions and credit intermediaries and on the requirements and the procedure for authorisation to provide credit intermediation services and how and when was this knowledge acquired?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

6 Work experience: *(a chronological list of all employers and positions held up to date, a description of positions held and functions performed by the member of the board or board of directors)*

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

7 Has the member of the management board or board of directors been convicted of a criminal offence by a final judgement?

YES/NO

8 Are there criminal offence proceedings pending against the member of the board or board of directors? YES/NO

9 Has the member of the board or board of directors been convicted of a minor offence by a final judgement?

YES/NO

10 Has the member of the board or board of directors been subject to a supervisory measure by a supervisory or competent authority in the field of banking, insurance or finance? YES/NO

11 5. Are there other any reasons to doubt the person's good repute? YES/NO

If the answer to any of the above questions is yes, please provide further details:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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I confirm that all the answers are true and complete to the best of my knowledge and that I did not withhold any information that may affect the decision of the Croatian National Bank.

I undertake to notify the Croatian National Bank of any changes that may have a significant effect on granting approval for the appointment.

|  |  |
| --- | --- |
| Place and date: | Signature by the member of the board or board of directors of the credit intermediary |

**Form 4**

**Data on the staff of the credit intermediary**

Credit intermediary: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address of head office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name and surname of the member of the board or board of directors of the credit intermediary who will be responsible for the provision of credit intermediation services:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1 The credit intermediary shall enter into the table the name, surname and OIB of each staff member in relation to the credit intermediation services, education and qualifications, work experience, a certificate from the credit institution on the appropriate knowledge and the date of its issuance (if any) and a short description of tasks performed for the credit intermediary:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| # | Name and surname, OIB | Education and qualifications | Work experience | Certificate of appropriate knowledge (date of issue) | Short description of tasks performed for the credit intermediary |
| 1 |  |  |  |  |  |
| 2 |  |  |  |  |  |
| ... |  |  |  |  |  |

2 Have any of the staff members of the credit intermediary committed a criminal offence? YES/NO

3 Have any of the staff members of the credit intermediary committed a minor offence subject to a fine in excess of HRK 40,000.00? YES/NO

4 Has any of the staff members of the credit intermediary been subject to a supervisory measure by a supervisory or competent authority? YES/NO

If the answer to any of the questions above is yes, please provide further details:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

I confirm that all the answers are true and complete to the best of my knowledge and that I did not withhold any information that may affect the decision of the Croatian National Bank.

I undertake to notify the Croatian National Bank of any changes that may have a significant effect on granting approval for the appointment.

|  |  |
| --- | --- |
| Place and date: | Signature by the member of the board or board of directors of the credit intermediary  ..... |

**Form 5**

CROATIAN NATIONAL BANK

Trg hrvatskih velikana 3

10000 Zagreb

**Application for authorisation to provide credit intermediation services in relation to consumer housing loans for a credit intermediary tied to only one credit institution**

1 Data on the credit intermediary:

Company: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address of head office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Identification number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2 Data on the credit institution on whose behalf and for whose account the credit intermediary will provide credit intermediation services;

Company: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address of head office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Identification number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3 The services referred to in Article 3, item (5) of the Act on Consumer Housing Loans that the credit intermediary intends to provide as well as weather the applicant intends to provide advisory services referred to in Article 3, item (19) of the Act on Consumer Housing Loans:

☐ presentation and offering of agreements on consumer housing loans;

☐ assistance in administrative tasks in the preparation and prior to the conclusion of agreements;

☐ conclusion of agreements on consumer housing loans on behalf and for the account of credit institutions;

☐ provision of advisory services.

4 Name and surname of the authorised contact person in relation to the application, telephone number, telefax number and e-mail address pf the person in question:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

5 Basic information on the professional indemnity insurance policy or comparable guarantee:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

6 If the professional indemnity insurance or comparable guarantee to the applicant is provided by the credit institution it is tied to, basic information on the insurance or guarantee:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

7 Information on the member of the board or board of directors of the applicant who will be responsible for the provision of credit intermediation services:

Name and surname: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place and date of birth: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OIB: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Function on the management board or board of directors of the applicant:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |
| --- | --- |
| Place and date: | Name and surname of the authorised person of the applicant: |

The following shall be submitted in the Appendix to this Application:

1) the (preliminary) agreement on the provision of credit intermediation services with the credit institution it intends to be tied to;

2) a copy of the professional indemnity insurance policy or comparable guarantee covering the entire territory in which it offers credit intermediation services;

3) a completed Statement by the credit intermediary give in Form 2 given in Annex to this Decision;

4) a certified copy of the personal identity card (passport for foreign citizens) of the member of the board of the applicant who will be responsible for the provision of credit intermediation services;

5) a certified copy of the diploma (or a Croatian translation of a foreign university diploma certified by a sworn and certified court interpreter) of the member of the board of the applicant;

6) a completed Questionnaire to the member of the board or board of directors of the credit intermediary who will be responsible for credit intermediation services given in Form 3 in Annex to this Decision;

7) evidence that the member of the board or board of directors of the credit intermediary has the qualifications specified in item 4 of the Questionnaire given in Form 3 in Annex to this Decision;

8) evidence that the member of the board or board of directors of the credit intermediary has the knowledge referred to in Article (3), paragraph (1) of this Decision and evidence of applications and the procedure for authorisation to provide credit intermediation services referred to in item 5 of the Questionnaire given in Form 3 in Annex to this Decision;

9) evidence that the member of the board or board of directors of the credit intermediary has the work experience specified in item 6 of the Questionnaire given in Form 3 in Annex to this Decision;

10) completed Form 4 in Annex to this Decision containing data on the staff of the credit intermediary;

11) evidence on the education and qualifications of each member of the credit intermediary named in Form 4 in Annex to this Decision;

12) evidence on the education and qualifications of each member of the credit intermediary named in Form 4 in Annex to this Decision;

13) certificate of knowledge and competence of each member of the credit intermediary named in Form 4 in Annex to this Decision;

14) a statement by the credit intermediary that it will provide credit intermediation services in relation to consumer housing loans for the credit institution only;

15) an assessment by the credit institution of the applicant that the credit intermediary meets the conditions referred to in Article 31, paragraph (2), items (1) to (3), (5) and (6) of the Act on Consumer Housing Loans.